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IN THE UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF ALABAMA
NORTHERN DIVISION

CIVIL ACTION NO. 2:05cv622-d

MARY BLOODSWORTH and

JERRY BLOODSWORTH,

PLAINTIFF(S),

**COPY** 

VS.

SMITH & NEPHEW, et al.,
 DEFENDANT(S).

DEPOSITION OF

JAMES DONALD LANIER

January 13, 2006

STIPULATED AND AGREED, by and between the parties through their respective counsel, that the deposition of JAMES DONALD LANIER may be taken before Kerry K. Thames, Commissioner, at 2900

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AmSouth-Harbert Plaza, Birmingham, Alabama, on January 13, 2006, commencing at 9:05

A.M.

IT IS FURTHER STIPULATED AND AGREED that the signature to and reading of the deposition by the witness is waived, said deposition to have the same force and effect as if full compliance had been had with all laws and rules of court relating to the taking of depositions.

that it shall not be necessary for any objections to be made by counsel to any questions except as to form or leading questions, and that counsel for the parties may make objections and assign grounds at the time of the trial, or at the time said deposition is offered in evidence, or prior thereto.

IT IS FURTHER STIPULATED AND AGREED that notice of filing of deposition by commissioner is waived.

θ

367 VALLEY AVENUE (205) 397-2397 BIRMINGHAM, ALABAMA 1-877-373-3660

Page 4 APPEARANCES 2 3 FOR THE PLAINTIFF(S): 4 Mr. Tom Dutton PITTMAN, HOOKS, DUTTON, KIRBY & HELLUMS 5 6 1100 Park Place Tower 7 2001 Park Place North 8 Birmingham, Alabama 35203 9 10 11 FOR THE DEFENDANT(S): 12 Mr. James C. Barton, Jr., and 13 Mr. Lee M. Pope JOHNSTON, BARTON, PROCTOR & POWELL 14 15 2900 AmSouth-Harbert Plaza 16 Birmingham, Alabama 35203 17 18 19 20 21 22 23

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| 1  | I, Kerry K. Thames, acting as               |
|----|---|
| 2  | Commissioner, certify that on this date as  |
| 3  | provided by the Federal Rules of Civil      |
| 4  | Procedure, and the foregoing stipulation of |
| 5  | counsel, there came before me JAMES DONALD  |
| 6  | LANIER, witness in the above cause, for     |
| 7  | oral examination, whereupon the following   |
| В  | proceedings were had:                       |
| 9  |   |
| 10 | JAMES DONALD LANIER,                        |
| 11 | being first duly sworn, was examined and    |
| 12 | testified as follows:                       |
| 13 |   |
| 14 | THE COURT REPORTER: Usual                   |
| 15 | stipulations?                               |
| 16 | MR. POPE: That's fine.                      |
| 17 |   |
| 18 | EXAMINATION BY MR. DUTTON:                  |
| 19 | Q. Your name is what, please, sir?          |
| 20 | A. James Donald Lanier,                     |
| 21 | L-a-n-i-e-r.                                |
| 22 | Q. Your residence address is what,          |
| 23 | please?                                     |
|    |   |

Page 6

|    | Page 6                                  |
|----|---|
| 1  | A. 3542 Royal Carriage Drive in         |
| 2  | Montgomery, Alabama.                    |
| 3  | Q. How long have you lived in           |
| 4  | Montgomery, Alabama?                    |
| 5  | A. Pretty much all my life.             |
| 6  | Q. Continuously during the last         |
| 7  | five years?                             |
| 8  | A. Yes.                                 |
| 9  | Q. Your business address, is it         |
| 10 | the same as your office address or not? |
| 11 | A. It's the same as my home             |
| 12 | address.                                |
| 13 | Q. You knew what I was trying to        |
| 14 | ask you. Thank you. You know Dr.        |
| 15 | Hodurski?                               |
| 16 | A. I do.                                |
| 17 | Q. Do you still work with his           |
| 18 | group?                                  |
| 19 | MR. POPE: Object to the form            |
| 20 | of the question. You can answer.        |
| 21 | A. I still deal with the doctors        |
| 22 | in that group. I do.                    |
| 23 | Q. (BY MR. DUTTON) Okay. Since          |
|    |   |

Page 7

| 1  | when have you dealt with the doctors in     |
|----|---|
| 2  | that group, about?                          |
| 3  | A. For how long have I been                 |
| 4  | Q. Yeah, yeah.                              |
| 5  | A. About well, close to twenty              |
| 6  | years.                                      |
| 7  | Q. Regarding the product that               |
| 8  | we're here about, about when did it come to |
| 9  | market?                                     |
| 10 | MR. POPE: I don't know which                |
| 11 | which product?                              |
| 12 | MR. DUTTON: The prosthetic hip              |
| 13 | that was implanted in                       |
| 14 | MR. POPE: And I'm not trying                |
| 15 | to be a wiseacre, because there's she       |
| 16 | had the two surgeries with Dr. Hodurski     |
| 17 | where different devices were implanted,     |
| 18 | so  |
| 19 | MR. DUTTON: Okay.                           |
| 20 | Q. (BY MR. DUTTON) Well, let's              |
| 21 | get you tell me what we call what.          |
| 22 | A. Well, if you're referring to             |
| 23 | the constraining liner, the constraining    |
|    |   |

Page 8

|    | · · · · · · · · · · · · · · · · · · ·       |
|----|---|
| 1  | liner is I'm not really sure when that      |
| 2  | came on the market. It was sometime or      |
| 3  | at least sometime around the same period of |
| 4  | time that she had her initial surgery, but  |
| 5  | I don't know any dates. I don't remember    |
| 6  | specifics.                                  |
| 7  | Q. Okay. Is that the constraining           |
| 8  | liner and ring? Is that what you're is      |
| 9  | that part of the same thing?                |
| 10 | A. The constraining liner and the           |
| 11 | ring are all part of one unit. That's       |
| 12 | correct.                                    |
| 13 | Q. Okay. And what do you call               |
| 14 | what was implanted at the time of the       |
| 15 | original surgery?                           |
| 16 | A. The original surgery, where she          |
| 17 | had her original total hip replacement      |
| 10 | Q. Yes, sir.                                |
| 19 | A the primary is what we would              |
| 20 | call it, that would be the Echelon femoral  |
| 21 | component and the acetabular component is a |
| 22 | Reflection acetabular cup.                  |
| 23 | Q. Okay.                                    |

Page 9

| l  |   |
|----|---|
| 1  | MR. POPE: What about the head?              |
| 2  | A. Well, the head is just                   |
| 3  | that's the femoral head, I mean, the        |
| 4  | Smith & Nephew femoral heads are            |
| 5  | interchangeable with all of our systems.    |
| 6  | Q. (BY MR. DUTTON) The primary              |
| 7  | unit, did you refer to it as the Echelon    |
| 8  | unit?                                       |
| 9  | A. The femoral component would be           |
| 10 | an Echelon part of the Echelon system.      |
| 11 | Q. Okay. And the other component            |
| 12 | was part of the same or a different system? |
| 13 | A. It was a different system                |
| 14 | because in orthopedics they have the        |
| 15 | femoral components, which is the whole      |
| 16 | system by itself, and you have the          |
| 17 | acetabular components, which is a another   |
| 16 | system which we call Reflection.            |
| 19 | Q. So the acetabular component was          |
| 20 | called Reflection?                          |
| 21 | A. Reflection.                              |
| 22 | Q. And the femoral was Echelon?             |
| 23 | A. Correct.                                 |
|    | I   |

Page 10

| 1  | Q. About when did the Reflection           |
|----|--|
| 2  | system come to market?                     |
| 3  | A. You know, I really can't tell           |
| 4  | you. It's been on the market, oh, ten,     |
| 5  | fifteen years. It's been, you know, the    |
| 6  | initial cups in that system, around ten or |
| 7  | fifteen years ago. It's been a long time.  |
| 8  | Q. Okay. Same question with                |
| 9  | regard to the Echelon femoral system?      |
| 10 | A. Echelon, probably not as long.          |
| 11 | Probably ten let me think maybe ten        |
| 12 | years. I don't once again, I don't         |
| 13 | remember exactly. I'm saying at least ten  |
| 14 | years.                                     |
| 15 | Q. Okay. I was asking you for              |
| 16 | your judgment, and you're giving me your   |
| 17 | judgment. I'm                              |
| 18 | A. Yeah, that's not that's not             |
| 19 | exact certainly.                           |
| 20 | Q. Okay. At the time of the                |
| 21 | original procedure, July '03 sound right   |
| 22 | June '03?                                  |
| 23 | MR. POPE: June.                            |
|    |  |

Page 11

1 MR. DUTTON: Yeah, June 2nd, 2 '03, I believe. 3 (BY MR. DUTTON) Both systems, Q. 4 then, had been on the market, in your 5 judgment, greater than five years? 6 Α. Yes. The constraining liner and 7 Q. ₽ ring supplements which or both -- which of 9 these systems, or does it supplement both 10 of them? When you say supplement, it --11 12 it would be used with the acetabular side, 13 okav. 14 Okay. And I may have already Q. 15 asked you this, and if I have, I apologize, 16 but about when was the constraining liner 17 and ring system -- when did it go to 18 market? 19 Once again, sometime around the 20 same period of her initial primary surgery, 21 but I don't remember exactly the date. 22 don't know. Okay, but in close proximity to 23 Q.

Page 12

| 1  | the time of we were talking about, June     |
|----|---|
| 2  | '03?  |
| 3  | A. Sometime around there, give or           |
| 4  | take six months or so. I don't know         |
| 5  | exactly.                                    |
| 6  | Q. Okay. Was it available at the            |
| 7  | time of the original surgery?               |
| 8  | MR. POPE: Object to the form                |
| 9  | of the question.                            |
| 10 | A. I really don't know. I mean,             |
| 11 | it's I can't tell you for sure.             |
| 12 | Q. (BY MR. DUTTON) You could have           |
| 13 | told me for sure had I asked you that       |
| 14 | question, say, on June 1st, 2003, true?     |
| 15 | MR. POPE: Object to the form.               |
| 16 | A. I don't know.                            |
| 17 | Q. (BY MR. DUTTON) You could                |
| 16 | have, had you not known it, you could have  |
| 19 | obtained the answer to that question, true? |
| 20 | A. All right, give me the date              |
| 21 | again. Say again                            |
| 22 | Q. June 1st, '03.                           |
| 23 | A. So that's when we did the                |
|    |   |

Page 13

| 1  | original surgery?                           |
|----|---|
| 2  | MR. POPE: Right                             |
| 3  | Q. (BY MR. DUTTON) That's the day           |
| 4  | before the original surgery was done.       |
| 5  | MR. POPE: yeah.                             |
| 6  | A. You're asking me did I know at           |
| 7  | that time whether the cup was available or  |
| 8  | not?  |
| 9  | Q. (BY MR. DUTTON) Yeah.                    |
| 10 | A. Once again, I don't know                 |
| 11 | because I had no reason to know. It very    |
| 12 | well could have been, but I don't remember. |
| 13 | Q. How could you you could have             |
| 14 | obtained the answer to that question?       |
| 15 | A. I could have                             |
| 16 | Q. Right.                                   |
| 17 | A had I needed to.                          |
| 18 | Q. How would you have gone about            |
| 19 | obtaining the answer to that question?      |
| 20 | A. If I needed to know if a                 |
| 21 | product is available, then I would just     |
| 22 | simply call marketing and ask them, you     |
| 23 | know, is this is this product in            |
|    |   |

Page 14

1 question available for market, if I didn't 2 know at that time. Did you assume -- well, let me 3 Q. back up. I'm taking away that partial 4 5 question. I'm going to start over. What is the utility of what 6 you're calling the constraining liner and 7 8 ring? When you say the utility, what 9 Α. do you mean by utility? 10 11 ο. Use. 12 Indication, is that what you're Α. 13 meaning, what is the indication for that 14 liner? We can go with that, yeah. 15 Q. The indication for that liner 1б Α. 17 would be --18 Yeah, that's fine. 19 -- if the surgeon so chooses, if he has a situation and a -- resulting 20 from a previous surgery that he feels like 21 22 there's a need for a constraining liner, that nothing -- that's what's in there at 23

Page 15

the present time is unstable and he needs to do something to revise it, if he feels like the situation requires a constraining liner, then that's -- that's what the indication would be.

- Q. Does it -- is its purpose to provide stability and to decrease the chance of dislocation of the primary unit?
- A. That would be the -- that would be a purpose of it, yes.
  - Q. Any other purpose?
  - A. No.

- Q. Constraining liners and rings are used, and I'll save you from having to repeat it, when in the physician's judgment they can decrease the likelihood of further dislocations and make the prosthetic joint more stable?
  - A. Correct.
- Q. And that is, to your observation, a less extensive operation, that is, adding a constraining liner and ring to the original prosthesis, than a

Page 16

1 complete redo of the primary procedure? 2 MR. POPE: Object to the form 3 of the question. Go ahead. 4 Α. It could be. It could be a 5 very -- it could be a -- what you just 6 stated, yes. 7 Q. (BY MR. DUTTON) Did the В constraining liner and ring that we're 9 talking about, did it have a system name 10 such as Reflection or Echelon or whatever 11 it was? 12 It's in the Reflection system, Α. 13 it is. 14 Okay, thanks. How would, with Q. 15 reference to the Echelon and Reflection 16 systems which were implanted in Mary on the 2nd of June of '03, how was the order --17 18 how would the order have been placed and 19 filled, and what was your involvement in 20 that if there was any? 21 Α. For the primary hip? 22 Q. Yes, sir, that's right. 23 Α. Okay. The standard procedure

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#### FREEDOM COURT REPORTING

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for that is the doctor will schedule a Either -- I would check his surgery. schedule, the surgery schedule book, and determine then when the surgery was scheduled because I know that he's going to use my system. At that point in time, I would just simply ensure that everything is at the hospital as needed and provide -- of course, the instruments at this particular hospital and the implants stay there, so I just make the sure the inventory and instruments are provided for the surgery. And once the procedure is done, then we would issue a bill only statement to the -- or a bill only statement to the hospital, where they, in turn, are invoiced with a PO number from Smith & Nephew for the purchase of the items. Prior to the Reflection constraining liner and ring becoming available, and you're not saying it was available at the time of the original surgery, are you?

Page 18

| 1  | A. I stated I did not know at the           |
|----|---|
| 2  | time.                                       |
| 3  | Q. You know it wasn't now, do you           |
| 4  | not?  |
| 5  | MR. POPE: Object to the form                |
| 6  | of the question yeah, object to the form    |
| 7  | of the question.                            |
| 8  | Q. (BY MR. DUTTON) You may                  |
| 9  | answer.                                     |
| 10 | MR. POPE: You may answer.                   |
| 11 | A. I know now that it was not               |
| 12 | available at that particular time?          |
| 13 | Q. (BY MR. DUTTON) Yes, sir.                |
| 14 | A. No, I don't know that.                   |
| 15 | Q. If I asked you that question             |
| 16 | with respect to a size 52, would you answer |
| 17 | that question differently?                  |
| 18 | A. All right, let me back up. Let           |
| 19 | me okay. I do I've got to recount           |
| 20 | (sic) my statement, okay                    |
| 21 | Q. Okay, sure.                              |
| 22 | A I'm going to answer because               |
| 23 | at the time of her surgery, her initial     |
|    |   |

Page 19

|    | · · · · · · · · · · · · · · · · · · ·       |
|----|---|
| 1  | surgery, I I think I did know that that     |
| 2  | system was available, yes.                  |
| 3  | Q. You knew the system was                  |
| 4  | available                                   |
| 5  | A. Yes.                                     |
| 6  | Q did you know the size was                 |
| 7  | available?                                  |
| 8  | A. I did not know the size that we          |
| 9  | needed at that time.                        |
| 10 | Q. Okay.                                    |
| 11 | A. So I don't know, then, that the          |
| 12 | one that she needed was available because I |
| 13 | did not know what size she would have.      |
| 14 | Q. If she had a well, would                 |
| 15 | would the primary unit have been ordered by |
| 16 | size?                                       |
| 17 | A. The primary system?                      |
| 10 | Q. Yes, sir.                                |
| 19 | A. No.                                      |
| 20 | Q. Okay. How does that work?                |
| 21 | A. Well, because you don't know             |
| 22 | until you get into surgery what size is     |
| 23 | going to be required, so I carry every size |
|    |   |

Page 20

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1
    available into surgery.
2
                 So you have every size
3
    available available to the surgeon at the
4
    time of surgery?
5
                 I do.
           Α.
                 And that's a decision generally
6
7
    made, if not universally made, by the
В
    surgeon at the time of surgery, in your
9
    experience?
10
           Α.
                 That's correct, yes.
11
           Q.
                 Okay.
12
                 MR. POPE: Which size to
13
    individually use?
14
                 MR. DUTTON: Yeah.
15
                 MR. POPE: Okay, I just wanted
16
    to make sure.
                 (BY MR. DUTTON) And are you
17
            Q.
18
    saying that you knew that at the time of
    the original surgery that all sizes of the
19
    Reflection constraining liner and ring were
20
21
    available?
                 I knew at the time of her
22
            Α.
23
    original surgery that the Reflection
```

Page 21

constraining liner was available. As far as -- and I probably knew at that time the sizes that were available.

- Q. What if anything would you have communicated to Dr. Hodurski at the time of the original surgery with respect to the availability or not of Reflection constraining liners and rings?
  - A. Is that the question --
  - Q. Yes, sir.

- A. -- are you through? All right.

  At the time of the original surgery, I would not have had any reason to discuss the constraining liner.
- Q. So would it be your testimony that you did not discuss anything about constraining liners and rings of the Reflection system with Dr. Hodurski at the time of Mary's original surgery back in June of '03?
  - A. That's correct.
- Q. Did you communicate with him with respect to size availability of

Page 22

1 Reflection constraining liners and rings in 2 any other manner, that is, other than 3 telling him at that time? 4 Α. Νo. 5 So it would be your testimony Q. 6 that back in June of '03, at the time of 7 Mary's original surgery, you communicated θ nothing to Dr. Hodurski about the 9 availability by size of Reflection 10 constraining liners and rings? 11 Α. Correct. 12 Did the Reflection constraining 0. 13 liner and ring replace any other 14 constraining liner and ring system which 15 had previously been used with the 16 acetabular system, the Reflection 17 acetabular system? 10 Α. No. 19 Had you received any premarket ٥. 20 training about the Reflection constraining 21 liner and ring? 22 Α. No. 23 Q. No prelaunch training or

Page 23

|    | ·   |
|----|---|
| 1  | anything of that nature?                    |
| 2  | A. Not not formal training, no.             |
| 3  | Q. How would Smith & Nephew have            |
| 4  | advised you that it was coming to market?   |
| 5  | A. They would have sent out                 |
| 6  | correspondence from the company describing  |
| 7  | the product, along with the use of the      |
| 8  | product, how it's to be used in surgery,    |
| 9  | along with sizes, technique of the          |
| 10 | assembly, and things such as that.          |
| 11 | Q. Along with sizes and technique           |
| 12 | of assembly?                                |
| 13 | A. Uh-huh (Nodding head).                   |
| 14 | Q. Okay. Would that be in the               |
| 15 | form of both sales training material and in |
| 16 | the form of materials to be shared with the |
| 17 | operating physicians?                       |
| 10 | MR. POPE: Object to the form                |
| 19 | of the question. You can answer if you      |
| 20 | understand what he's talking about.         |
| 21 | A. The there really was the                 |
| 22 | only sales plan, like I said, was not       |
| 23 | really a formal sales training. It was      |
|    |   |

Page 24

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1
    simply correspondence that comes for
2
    in-office use only, not to be disclosed
    to a surgeon or to, you know, any other
3
4
    person --
5
           O. (BY MR. DUTTON) Right.
6
                -- the -- so, other than that,
    that's all the sales material that comes to
7
    me as far as training. And then what --
8
9
    there was probably, at some time, there was
10
    a technique, interim technique, that was
11
    issued for the surgeons so that they would
12
    have some information that they could look
13
    at as to how the product is used and put
14
    together in surgery.
                 Okay. In your organization, is
15
16
    the sales training material which is not to
17
    be shared, and for your eyes only so to
19
    speak, is it so stamped? Is there any kind
19
    of stamp --
20
           Α.
                 It is.
21
           Q.
                 Okay. And what is your
22
    company's -- how does it read?
23
                 MR. POPE: Well, I
```

Page 25

| 1  | A. It says I don't know                       |
|----|---|
| 2  | exactly, just says do not disclose to do      |
| 3  | not allow surgeons to read this material,     |
| 4  | basically. I don't the exact wording of       |
| 5  | it, I don't know, but that's basically what   |
| 6  | it's saying.                                  |
| 7  | Q. (BY MR. DUTTON) You've seen it             |
| В  | so many times, you know what it means, but    |
| 9  | you don't know what it says?                  |
| 10 | A. Yeah, I know it's going to say             |
| 11 | it at the bottom of the letter, so I $\ldots$ |
| 12 | Q. Okay. And did you also receive             |
| 13 | stuff, materials, to share with the           |
| 14 | surgeon?                                      |
| 15 | A. I did have an interim technique            |
| 16 | of a sort to let him have so he would have    |
| 17 | some information for his own use.             |
| 18 | Q. Okay, and describe this                    |
| 19 | technique document was it a document,         |
| 20 | first of all?                                 |
| 21 | A. It was yeah, I guess you                   |
| 22 | would call it a document.                     |
| 23 | Q. Was it hard copy is what I                 |
|    |   |

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| 1  | mean?                                       |  |  |
|----|---|--|--|
| 2  | A. It was photocopies, I believe,           |  |  |
| 3  | I'm not sure, I think it was a photocopy of |  |  |
| 4  | a brochure that would be released in the    |  |  |
| 5  | future, and that's what he had for his      |  |  |
| 6  | personal use.                               |  |  |
| 7  | Q. Okay. And what was the                   |  |  |
| 8  | distribution schedule for that document?    |  |  |
| 9  | A. What do you mean distribution            |  |  |
| 10 | schedule?                                   |  |  |
| 11 | Q. Well, did you go give one to             |  |  |
| 12 | all of your customers                       |  |  |
| 13 | A. No.                                      |  |  |
| 14 | Q as soon as it became                      |  |  |
| 15 | available?                                  |  |  |
| 16 | A. No.                                      |  |  |
| 17 | Q. You gave it to them when they            |  |  |
| 18 | needed                                      |  |  |
| 19 | MR. POPE: If you remember.                  |  |  |
| 20 | Q. (BY MR. DUTTON) to use one,              |  |  |
| 21 | when they decided they needed to use        |  |  |
| 22 | A. That's the only yeah, if                 |  |  |
| 23 | a doctor requests information, I give it to |  |  |
|    |   |  |  |

Page 27

1 him. 2 Yeah. Well, if he requested ٥. 3 the constraining liner and ring, you would 4 give it to him, in other words, if he 5 ordered one? 6 Α. Yes. 7 ٥. Yeah. You distributed no В document to Dr. Hodurski or anyone in his 9 group as of June 2, '03, with respect to 10 size availability of the Reflection 11 constraining liner and ring, true? 12 That may not be true. Α. 13 Is it untrue? ο. 14 That would be untrue. I think Α. 15 I did, prior to her original surgery, had 16 given Dr. Hodurski a form of the technique. 17 Which is the document you 0. 18 described for me --19 Uh-huh (Nodding head). Α. 20 -- the xeroxed document you ο. 21 described for me a few minutes ago? 22 Α. Yes. 23 Q. Did that document have any

Fage 28

- information with respect to size availability?
  - A. Yes, I think it did.
  - Q. Do you still have that document or a copy of that?
- A. No, I don't.
  - Q. Who did you get it from?
- A. It would have come from Smith & Nephew.
- Q. Who? From -- who in Smith &

  Nephew would have distributed it to you?
- A. Well, most likely hip
- 13 marketing --

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9

- Q. Okay.
- A. -- total hip marketing.
- Q. If I wanted to ask your company
- 17 if they could find me a copy of that
- 18 document, what would I name that document?
- MR. POPE: Object to the form
- of the question just to the extent that
- you're referring to his company as Smith &
- Nephew, but that's just --
- MR. DUTTON: Okay.

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1 MR. POPE: Go ahead and answer 2 the question. 3 Α. There again, I would simply 4 call total hip marketing at Smith & Nephew. 5 (BY MR. DUTTON) And ask for Q. 6 what? 7 And ask for -- you could ask 8 them for the interim technique for the 9 Reflection constraining liner that was 10 initially released prior to -- or at the 11 time of the release of the product. 12 Are you an employee of Smith & Q. 13 Nephew? 14 Α. No. 15 What's your relationship with ο. 16 them, to your understanding? 17 Well, I work under a contract Α. 16 -- under a corporation which is Lanier 19 Orthopedics, which is an independent 20 contractor with Smith & Nephew. 21 How long has that been true? 0. 22 The company made changes around Α. 23 the

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1 MR. POPE: Tell him -- when you 2 say the company, just --3 Smith & Nephew was -- I think Α. in 2001 they went direct, I think somewhere 4 5 around 2001 when they actually -- I've been with the company as an independent rep or 6 7 independent contractor for nearly twenty 8 years, but the company actually went direct with the distributorships that were 9 10 initially in place. So the corporation went under Smith & Nephew around 2001 as an 11 independent contractor directly with Smith 12 13 & Nephew. 14 Whereas before (BY MR. DUTTON) 15 the relationship had been with the 16 distributor? 17 That's correct. Α. 18 Q. With -- was it Spar? 19 Α. Spar Medical, yes. 20 But you were operating as an Q. 21 independent contractor by the name of 22 Lanier Orthopedics? 23 Uh-huh (Nodding head). Α.

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| 1  | Q.          | On June 2nd of '03?              |
|----|-------------|----------------------------------|
| 2  | Α.          | Yes.                             |
| 3  | Ω.          | When you say independent         |
| 4  | contractor, | is there a written agreement     |
| 5  | between you | r company are you the sole       |
| 6  | owner of La | nier Orthopedics?                |
| 7  | Α.          | Yes.                             |
| 8  | Q.          | Is there a written contract      |
| 9  | between Lan | ier Orthopedics and Smith &      |
| 10 | Nephew?     |                                  |
| 11 | Α.          | Yes.                             |
| 12 | Ω.          | And was there one back in June   |
| 13 | of '03?     |                                  |
| 14 | Α.          | Yes.                             |
| 15 | Q.          | Is it the same one?              |
| 16 | A.          | Yes.                             |
| 17 | Ω.          | Do you recollect discussions     |
| 18 | with Dr. Ho | durski in December or January of |
| 19 | December    | '03, January of '04, with        |
| 20 | respect to  | a constraining liner and ring of |
| 21 | the reflect | ion variety with reference to    |
| 22 | Mary Bloods | worth?                           |
| 23 | Α.          | Are we talking about after the   |
|    |             | ·                                |

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1 primary surgery? 2 Yes, sir, we're talking --Ο. 3 Α. After the --4 -- about after the -- during ٥. 5 the course following that surgery, there 6 were several dislocations, and there came a time in December of '03 or January of '04 7 8 where the doctor wanted to try a 9 constraining liner and ring. 10 Uh-huh (Nodding head). Α. 11 That's the time I'm talking Q. 12 about. Did you and he have discussions 13 about that? 14 Α. Yes, we did. 15 Would that have been -- best 16 judgment, was that in late December or 17 early January, or do you remember? 18 I don't remember. Α. 19 ο. Does that time frame sound 20 about right, or do you remember? 21 Α. All I remember as far as time line would be that he and I discussed this 22 23 when he determined that he wanted to do the

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1 revision, whatever time that was. 2 Okay. And so if the hospital Q. records indicate that it was originally 3 scheduled for early January of '04, then 4 5 your best judgment would be the conversation would have been around that 6 7 time? θ A. Yes. 9 Tell me what you remember to 10 have been the substance of the conversation, specifically what Dr. Donald 11 12 Hodurski said to you and what you said to 13 Dr. Hodurski? 14 Well, about all I remember was Α. 15 that due to the frequency or the number of 16 dislocations that she had had, he would 17 like to consider doing a revision total hip on Ms. Bloodsworth. 18 19 Okay. And what did you say to Q. 20 him? 21 Α. Nothing to say. Okay. 22 Do you remember there being a Q. 23 delay in your ability to obtain a size 52

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1 Reflection constraining liner and ring? 2 There was a delay. Α. 3 And the reason for that delay? Q. 4 The reason for the delay was Α. 5 that that particular size was not available 6 on -- it was in the process of being 7 produced, but the availability at that time was not there. 9 Had the company run out of Q. 10 them, or had they not --11 Α. No. 12 -- come to market with a size Ο. 13 And by as far as come to market, they didn't have any available for distribution 14 15 at that time in January? 16 The inventory on the Α. 17 constraining liners was a limited inventory 18 on initial release, which means for the 19 extremely smaller sizes or the larger 20 sizes, they had not come to market yet. 21 Q. Okay. Did the size 52 -- does 22 that ring true that we're dealing with a 23 size 52?

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1 Α. I don't remember the exact 2 sizes. 3 Okay, the -- well, okay. Q. 4 size 52, is that, on either end, is that 5 relatively large or relatively small in the 6 context of your last answer? 7 Size 52 is on the small side, but it's not an odd size, but it -- it's в 9 just -- if, in fact, that was the size she 10 has in, I don't remember, whatever size she 11 had in was on the small side because we did 12 not have that size available. 13 Well, you would have -- then Q. 14 you communicated that to Hodurski, would 15 you have not? 16 I communicated that to him when Α. 17 I had to go back and determine what size 18 she had in place. I did not have that to 19 memory at the time we scheduled the case. 20 Sure. Okay. And when you 21 checked you found that it had not come to 22 market yet, but was it in the process of 23 coming to market?

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| 1  | A. It was.                                  |
|----|---|
| 2  | Q. And you got an ETA?                      |
| 3  | A. I did.                                   |
| 4  | Q. And that ETA was about a month           |
| 5  | •   |
|    | away from the originally scheduled          |
| 6  | constraining liner and ring surgery?        |
| 7  | MR. POPE: Object to the form                |
| 8  | of the question.                            |
| 9  | A. Somewhere around there. I                |
| 10 | don't remember the exact period of time.    |
| 11 | Three to four weeks, something along that   |
| 12 | line. We did have an ETA, though.           |
| 13 | Q. (BY MR. DUTTON) Okay. Do you             |
| 14 | remember Dr. Hodurski telling you he would  |
| 15 | not have installed the, whatever size it    |
| 16 | was, system had he known at the time, that  |
| 17 | is, June '03, that a constraining liner and |
| 18 | ring to supplement it, if necessary, was    |
| 19 | unavailable?                                |
| 20 | A. No.                                      |
| 21 | Q. Are you saying he did not tell           |
| 22 | you that?                                   |
| 23 | A. He did not make that statement.          |

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1 (Whereupon, an off-the-record 2 discussion was had). 3 (Recess from 9:39 A.M. until 4 9:44 A.M.) 5 (BY MR. DUTTON) I can't read Ο. 6 my own writing, so I'm going to have to ask 7 you this again, sir, or I want to make sure в that I can. Did you say if I were to ask 9 -- want to get the xerox-copied material 10 that you would have given Dr. Hodurski back 11 at the time of the original surgery, I 12 would ask Smith & Nephew for the interim 13 technique that was released at about the 14 time the Reflection constraining liner and 15 ring was first released? 16 Α. Yes. 17 You're aware that physicians, Q. 18 surgeons, in your line of effort, they rely 19 on information you give them? 20 MR. POPE: Object to the form 21 of the question. 22 Α. In regards to? 23 Q. (BY MR. DUTTON) Say, with

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| 1  | respect to the interim technique that you |
|----|---|
| 2  | said you gave Dr. Hodurski.               |
| 3  | A. They use the documentation that        |
| 4  | we provide for them to give them          |
| 5  | information about the products.           |
| 6  | Q. That's what you're there for,          |
| 7  | isn't it, I mean, true?                   |
| θ  | A. To give them information,              |
| 9  | that's right.                             |
| 10 | Q. And you would expect them to           |
| 11 | rely on it, would you not?                |
| 12 | MR. POPE: Object to the form              |
| 13 | of the question.                          |
| 14 | Q. (BY MR. DUTTON) You may                |
| 15 | answer.                                   |
| 16 | A. I would expect them to use the         |
| 17 | information that I give them.             |
| 18 | Q. You don't expect them to rely          |
| 19 | on that information, or are we saying the |
| 20 | same thing?                               |
| 21 | MR. POPE: Object to the form              |
| 22 | of the question. I think he said what he  |
| 23 | expects them to do with it, but go ahead  |
|    |   |

| 1  | and tell him again.                        |
|----|--|
| 2  | A. When you say I expect them to           |
| 3  | do that                                    |
| 4  | Q. (BY MR. DUTTON) Yeah.                   |
| 5  | A I provide information for                |
| 6  | them to use, and whether or not they use   |
| 7  | it, that's their call. If they want to use |
| 8  | my system, I make sure that they have the  |
| 9  | information in hand that describes the     |
| 10 | system so that they're not in the dark     |
| 11 | about how the system works.                |
| 12 | Q. And you                                 |
| 13 | A. Now, if they don't read it,             |
| 14 | that's their call.                         |
| 15 | Q. It would not surprise you if            |
| 16 | they were to rely on it?                   |
| 17 | MR. BARTON: Object to the                  |
| 18 | form.                                      |
| 19 | MR. POPE: Object to the form               |
| 20 | of the question.                           |
| 21 | Q. (BY MR. DUTTON) True?                   |
| 22 | A. It would not surprise me if             |
| 23 | they relied on the information.            |
|    |  |

Page 40 1 Day in and day out you see Q. 2 them doing so, don't you? 3 MR. POPE: Object to the form 4 of the question. 5 Α. Day in and day out? 6 Q. (BY MR. DUTTON) Yes, sir. 7 Α. Not necessarily. 8 Q. Routinely you see them doing 9 so, don't you? 10 MR. POPE: Object to the form 11 of the question. 12 Α. No. 13 (BY MR. DUTTON) They ignore ο. 14 routinely they ignore the information you 15 give them? Is that what you're saying? 16 No, they don't ignore it. Α. 17 They use it, don't they? Q. 18 Α. How do you mean they use it? 19 mean, what are you -- in a procedure? 20 ο. Yeah. 21 Α. Are you saying in a surgical 22 procedure, they're using the information -23 Q. Or prior thereto -- I didn't

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| 1  | mean to interrupt. I wasn't trying to be   |
|----|--|
| 2  | rude.                                      |
| 3  | A. No, I'm saying in a surgical            |
| 4  | procedure, as they're inserting these      |
| 5  | implants, they're using the technique that |
| 6  | is provided for them from the company.     |
| 7  | MR. POPE: Tell him what you                |
| 6  | mean who's the company?                    |
| 9  | A. I'm sorry, Smith & Nephew.              |
| 10 | Q. (BY MR. DUTTON) Do you know of          |
| 11 | any information you communicated to Dr.    |
| 12 | Hodurski about the either the Echelon      |
| 13 | femoral, the Reflection acetabular, or the |
| 14 | Reflection constraining liner and ring     |
| 15 | systems upon which he did not rely?        |
| 16 | MR. POPE: Object to the form               |
| 17 | of the question.                           |
| 18 | A. All right, let's take it one            |
| 19 | step at a time.                            |
| 20 | Q. (BY MR. DUTTON) Sure.                   |
| 21 | A. Do I remember providing the             |
| 22 | information for the Echelon say that       |
| 23 | Q. Okay, I'll repeat                       |
|    |  |

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| 1  | A. You had three questions in one,         |
|----|--|
| 2  | actually, so                               |
| 3  | Q. Okay. Your lawyer is supposed           |
| 4  | to make that objection.                    |
| 5  | MR. POPE: I did.                           |
| 6  | Q. (BY MR. DUTTON) Do you                  |
| 7  | remember providing Dr. Hodurski any        |
| 8  | information about the Reflection           |
| 9  | constraining liner and ring upon which he  |
| 10 | did not rely?                              |
| 11 | MR. POPE: Object to the form               |
| 12 | of the question.                           |
| 13 | A. No, I don't remember.                   |
| 14 | Q. (BY MR. DUTTON) Okay. If I              |
| 15 | asked you the same question with the       |
| 16 | Reflection acetabular system, would your   |
| 17 | answer be the same?                        |
| 18 | MR. POPE: Same objection.                  |
| 19 | A. I don't remember when I                 |
| 20 | provided information to him about          |
| 21 | Reflection.                                |
| 22 | Q. (BY MR. DUTTON) Okay, so do             |
| 23 | you remember providing him any information |
|    |  |

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1 about the Reflection acetabular system upon 2 which he did not rely? 3 MR. POPE: Object to the form 4 of the question. 5 I don't remember providing him 6 with information on the Reflection, period, 7 whether he did or did not rely on it. В (BY MR. DUTTON) Well, that's ٥. 9 -- I could ask you the same question the 10 other way. I choose to ask it in a 11 particular way, and that's why I'm wanting 12 an answer to my question. I'm not fussing 13 at you. 14 Do you remember providing him 15 any information about the acetabular 16 Reflection system upon which he did not 17 rely? 18 MR. POPE: Object to the form 19 of the question. 20 Α. No. 21 Q. (BY MR. DUTTON) If I asked you 22 the same question about the Echelon system, 23 would your answer be the same?

| 1  | MR. POPE: Same objection. Go               |
|----|--|
| 2  | ahead if you understand what he's asking.  |
| 3  | I'm just giving my                         |
| 4  | A. I don't remember.                       |
| 5  | Q. (BY MR. DUTTON) Okay.                   |
| 6  | A. I don't.                                |
| 7  | Q. Did your company offer any              |
| В  | other acetabular components other than the |
| 9  | Reflection system back at the time we're   |
| 10 | talking about?                             |
| 11 | MR. POPE: Lanier or Smith &                |
| 12 | Nephew?                                    |
| 13 | MR. DUTTON: Excuse me, Smith &             |
| 14 | Nephew.                                    |
| 15 | MR. POPE: Well, I didn't know              |
| 16 | if you                                     |
| 17 | MR. DUTTON: Thank you.                     |
| 18 | MR. POPE: He might be                      |
| 19 | distributing other stuff.                  |
| 20 | MR. DUTTON: Thank you.                     |
| 21 | A. That's no. The Reflection               |
| 22 | system was the only acetabular system that |
| 23 | Smith & Nephew had at that time.           |
|    |  |

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| 1  | Q. (BY MR. DUTTON) Its                    |
|----|---|
| 2  | predecessor system was named what, if you |
| 3  | remember?                                 |
| 4  | A. The system prior to Reflection         |
| 5  | was called Optifix.                       |
| 6  | Q. Was there a constraining liner         |
| 7  | and ring system that accompanied the      |
| 8  | Optifix system?                           |
| 9  | A. No.                                    |
| 10 | Q. What prompted the company to           |
| 11 | make available the constraining liner and |
| 12 | ring, that you know of, the company being |
| 13 | Smith & Nephew?                           |
| 14 | A. The only I could not offer             |
| 15 | answer that because that would just be    |
| 16 | conjecture. I don't know. You would have  |
| 17 | to ask the engineers.                     |
| 18 | Q. I'm not asking you to guess,           |
| 19 | I'm asking you if you heard anything?     |
| 20 | A. I have not heard anything.             |
| 21 | Q. Have you heard anything in the         |
| 22 | nature of the Smith & Nephew systems are  |
| 23 | dislocating too much and we're coming up  |
|    |   |

Page 46 1 with this to try to fix the problem? 2 Α. No. 3 MR. DUTTON: Anything else, 4 boys? 5 MR. POPE: (Shaking head). 6 MR. DUTTON: Sir, thank you for 7 your time. В (Whereupon, an off-the-record 9 discussion was had). 10 MR. DUTTON: I do -- wait a 11 minute. One thing before I get -- I'm not 12 through. 13 14 (Whereupon, Plaintiff's Exhibit 15 Number 1 was marked for identification and 16 copy of same is attached hereto). 17 10 (BY MR. DUTTON) Exhibit 1, Q. 19 sir, is a response to the notice of 20 deposition. I don't even know if you've 21 seen it or not, and it's not -- that's 22 really not my point. 23 MR. POPE: That's what we're

```
1
    talking about (Indicating).
2
                 MR. DUTTON: Yeah, could you
3
    let him look at that one with yours, and
4
    I'll look at -- yeah, thanks.
5
            Q.
                 (BY MR. DUTTON) Do you report
6
    to Smith & Nephew with respect to your
7
    contacts with physicians?
8
            Α.
                 No.
9
                 So you -- you're familiar with
10
    what's referred to in the industry as call
11
    notes?
12
            Α.
                 I am.
13
               You don't make them to Smith &
            Q.
14
    Nephew?
15
            Α.
                 No.
16
                 And did not make them during
            Q.
17
    the times we've been talking about --
18
            Α.
                 No.
19
                 -- this morning with respect to
            ο.
20
    conversations you've had with Dr. Hodurski?
21
                 I did not.
            Α.
22
                 Okay. And you've told me about
            Q.
23
    the sales training material you received
```

Page 48 1 about the products we've talked about this 2 morning and the sales materials -- or sales 3 information you communicated to Dr. 4 Hodurski? 5 Α. (Nodding head). 6 MR. POPE: You need to 7 verbalize your answer. 8 Yes. Yes, I'm sorry. Α. 9 (BY MR. DUTTON) You have no ٥. 10 sales materials in your possession? 11 Α. No. 12 Q. You have no call notes in your 13 possession? 14 Α. No, sir. 15 Q. You have no sales training 16 materials --17 No, sir. Α. 18 Q. -- in your possession? 19 Α. I do not. 20 MR. POPE: With respect to 21 these products? 22 MR. DUTTON: The ones we've

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23

been talking about.

| 1  | Q. (BY MR. DUTTON) Why don't you            |
|----|---|
| 2  | have them with respect to these products?   |
| 3  | I'm not talking about you don't keep        |
| 4  | sales training materials?                   |
| 5  | A. I do on some products.                   |
| 6  | Q. Okay, but not on these?                  |
| 7  | A. Not on this.                             |
| θ  | Q. Okay. And you have no                    |
| 9  | documents to indicate anything about or     |
| 10 | reports of any problems with the Reflection |
| 11 | systems?                                    |
| 12 | A. No.                                      |
| 13 | Q. Okay.                                    |
| 14 | MR. DUTTON: I offer 1. That's               |
| 15 | all. I'll say it again, thank you, sir.     |
| 16 |   |
| 17 | FURTHER THE DEPONENT SAITH NOT              |
| 16 |   |
| 19 |   |
| 20 |   |
| 21 |   |
| 22 |   |
| 23 |   |
|    |   |

| $\overline{}$ |    | - | m.  | т. | 100 | _ | С  | 70 | m  | 12   |
|---------------|----|---|-----|----|-----|---|----|----|----|------|
|               | ъ. | м | .1. |    | Г   |   | ι. | А  | т. | - 64 |

STATE OF ALABAMA:

JEFFERSON COUNTY:

I hereby certify that the above and foregoing deposition was taken down by me in stenotype, and the questions and answers thereto were reduced to typewriting under my supervision, and that the foregoing represents a true and correct transcript of the deposition given by said witness upon said hearing.

I further certify that I am neither of counsel nor kin to the parties to the action, nor am I in any way interested in the result of said cause.

KERRY K. THAMES - COMMISSIONER

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#### UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF ALABAMA NORTHERN DIVISION

| MARY BLOODSWORTH and JERRY BLOODSWORTH, | }              |
|---|----------------|
| Plaintiffs,                             | )              |
| ν.                                      | ) CASE NUMBER: |
| SMITH & NEPHEW, INC.,<br>et al.,        | ) 2:05cv622-   |
| Defendants.                             | 1              |

#### OBJECTION TO NOTICE OF TAKING DEPOSITION

Defendant Donnie Lanier ("Lanier"), pursuant to Rules 30(b)(5) and 34(b) of the Federal Rules of Civil Procedure, objects as follows to request for documents contained in plaintiffs' Notice of Taking Deposition of the Defendant, Donnie Lanier:

#### GENERAL OBJECTIONS

- Ι. Lanier objects to producing any documents at his deposition scheduled for January 13, 2006. The Court's Order of December 19, 2005 ("Order") permits plaintiffs to depose Lanier on the limited topic of his communications with Mary Bloodsworth's physician, Donald F. Hodurski, M.D. ("Dr. Hodurski"); the Order does not permit plaintiffs to request documents from Lanier, nor does it require Lanier to produce documents to plaintiffs.
- 2. Lanier objects to the request for documents on the grounds that requiring Lanier to produce documents to plaintiffs prior to their responding to defendants' outstanding discovery and prior to their providing their Rule 26 Initial Disclosures will unfairly prejudice Lanier.

- 3. Lanier objects to the request for documents on the grounds that requiring Lanier to produce documents to plaintiffs prior to their amending their pleadings to comply with Rule 9(b) will unfairly prejudice Lanier.
- Lanier objects to the request for documents on the grounds that it requests that Lanier respond in a time period shorter than that prescribed by Rule 34,

#### SPECIFIC OBJECTIONS

1. Lanier objects to this request on the grounds that it exceeds the scope of the Court's Order allowing plaintiffs to depose Lanier about "the nature and extent of Lanier's statements to and communications with Mrs. Bloodsworth's surgeon concerning the products at issue." (Order, p. 22.) Specifically, communications between Lanier and Dr. Hodurski, other than those concerning Mrs. Bloodsworth and the subject products are beyond the scope of the Order. In addition, any communications between Lanier and Kirklin Clinic are beyond the scope of the Order because the subject products were neither prescribed nor implanted at Kirklin Clinic.

Without waiver of those objections, Lanier states that he has no such documents in his possession relating to Mary Bloodsworth or the products that are the subject of this action.

2. Lanier objects to this request on the grounds that it is beyond the scope of the Court's Order permitting plaintiffs to depose Lanier about "the nature and extent of Lanier's statements to and communications with Mrs. Bloodsworth's surgeon concerning the products at issue." (Order, p. 22.) Any "sales training materials" regarding the subject products, to the extent they exist, are in no way relevant to those statements and communications.

Without waiver of that objection, Lanier states that he has no documents in his possession that are responsive to this request.

- 3. Lanier objects to this request on the grounds that it is beyond the scope of the Court's Order permitting plaintiffs to depose Lanier about "the nature and extent of Lanier's statements to and communications with Mrs. Bloodsworth's surgeon concerning the products at issue." (Order, p. 22.) Any "sales materials" regarding the subject products, to the extent they exist, are in no way relevant to those statements and communications.
- 4. Lanier objects to this request on the grounds that it is beyond the scope of the Court's Order permitting plaintiffs to depose Lanier about "the nature and extent of Lanier's statements to and communications with Mrs. Bloodsworth's surgeon concerning the products at issue." (Order, p. 22.) Any "reports of problems" with the subject products, to the extent they exist, are in no way relevant to those statements and communications.

Lanier objects to this request to the extent that it requests information protected by federal or state rules, regulations or laws.

Without waiver of those objections, Lanier states that he has no documents in his possession that are responsive to this request.

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OF COUNSEL

#### CERTIFICATE OF SERVICE

This is to certify that I have served a copy of the above and foregoing upon all parties to this cause by hand delivery this the \_\_//\_\_ day of January 2006, to the following:

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Of Counsel